

# 21-05

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applica	tion: VANDENBROEK, et al.	) ) Customer No. 21378
Serial No.:	10/815,149	) Docket No.: A-2810-AL
Filed:	March 30, 2004	) ) Art Unit: 3731
	VERTIBLE SURGICAL CLIP LIER SYSTEM	) ) )
Express Maili	ng No.: <u>EV 384057835 US</u>	•

I hereby certify that the following documents as identified below are being deposited with the United States "Express Mail" Service on the date indicated above in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- 1. Information Disclosure Statement;
- 2. PTO Forms PTO/SB/08a & PTO/SB/08b;
- 3. Copies of 4 references;

Date of Deposit: September 20, 2005

- 4. Transmittal Form; and
- 3. Return-receipt postcard.

Each of the above-identified documents is enclosed herewith.

Respectfully submitted,

Barbara Johnson

**Applied Medical Resources Corporation** 

Bailiaca / Susson

**CUSTOMER NO.: 21378** Telephone (949) 713-8000 Facsimile (949) 713-8206

Under the Pages in This Submission	Application Num Filing Date First Named Inve	March 30, 2004 entor Frans Vandenbroek 3731 Not yet assigned
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts/ under 37 CFR 1.52 or 1.5	Drawing(s)  Licensing-related P  Petition  Petition to Convert Provisional Applica Power of Attorney, Change of Corresp  Terminal Disclaime  Request for Refunc  CD, Number of CD  Remarks	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  to a tion Revocation ondence Address  T  Status Letter Other Enclosure(s) (please Identify below): Forms PTO/SB/08a & SB/08b Copies of four citations
Firm or Individual name Signature Date  Date  Date  I hereby certify that this correspondence in the state of	CERTIFICATE OF TRAN	ISMISSION/MAILING the USPTO or deposited with the United States Postal Service with ssioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature



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10/815,149

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For:

CONVERTIBLE SURGICAL CLIP

**APPLIER SYSTEM** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Enclosed please find an Information Disclosure Statement which lists information that may be relevant to the prosecution of the above-captioned application. It is requested that this information be considered by the Office. However, it is understood that the mere filing of the Statement does not constitute an admission that the information submitted is material to patentability.

This Statement is being submitted under the terms of 37 C.F.R. § 1.97(b), and is being filed before the mailing of a first Office Action on the merits. No fee should be required.

Nevertheless, the Commissioner is hereby authorized to charge any fee deficiency, or credit any over-payment, to our Deposit Account No. 01-2215.

Respectfully submitted,

Patrick Y. Ikehara

Attorney for Applicant

**CUSTOMER NO.: 21378** Telephone (949) 713-8000

SEP 2 0 2005

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Complete if Known

Application Number 10/815,149

Filing Date March 30, 2004

First Named Inventor Frans Vandenbroek

Art Unit 3731

Examiner Name Not yet Assigned

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number A-2810-AL

U. S. PATENT DOCUMENTS							
Examiner Cite No. 1		Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US- 5,282,812	02-01-1994	Suarez, Jr. et al.			
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines,	ೄ		
Initials*	No.'	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Where Relevant Passages or Relevant Figures Appear	Γ"		
		WO 2004/008944 A	01-29-2004	Applied Medical Resources				
***************************************		WO 2002/28268 A	04-11-2002	Applied Medical Resources				
***************************************		EP 0 793 944 A1	09-10-1997	United States Surgical Corp.				
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	te for form 1449/PTO			Complete if Known			
<u> </u>	10 101101111 1440/1 10			Application Number	10/815,149		
INF	ORMATION	DIS	CLOSURE	Filing Date	March 30, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Frans Vandenbroek		
	(lice so many she			Art Unit	3731		
(Use as many sheets as necessary)				Examiner Name	Not yet assigned		
Sheet	2	of	2	Attorney Docket Number	A-2810-AL		

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		The International Search Report and The Written Opinion of the International Searching Authority for PCT application No. PCT/US2005/008319				
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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